



Ask for: Communications

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Date: September 2021

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Emma Woollett  
Swansea Bay University Health Board

By Email only: Emma.Woollett@wales.nhs.uk

### **Annual Letter 2020/21**

Dear Emma

I am pleased to provide you with the Annual letter (2020/21) for Swansea Bay University Health Board.

This letter discusses information from a year unlike any other in recent memory, and as such may not be useful for establishing trends or patterns. Information received during this remarkable year will, however, bring insights on how Public Services reacted in the face of unprecedented demand and the most difficult of circumstances.

The Health Board continues to actively and positively engage with my Improvement Officer, with prompt and candid responses from the Liaison Officer when contacted for assistance. I am encouraged by the Health Board's continued commitment to learning, for example, by regularly inviting my Improvement Officer to present to newly-qualified Consultants as part of their professional development programme, and in engaging with training sessions from my complaints standards staff.

During the past financial year, we have intervened in (upheld, settled or resolved at an early stage) the same proportion of complaints about public bodies, 20%, compared with 2019/20.

Last year, we saw a 22% reduction in new complaints relating to Health Boards – a predictable reduction given the circumstances of the year. However, my Office intervened slightly more frequently in complaints involving Health Boards, 33% compared to 31% in 2019/20.

During 2020/21, despite challenges caused by the pandemic, my office made great strides in progressing work related to Complaints Standards and Own Initiative Investigations. The theme and consultation period of the first wider Own Initiative Investigation – into Local Authority Homelessness Assessments - was launched in September 2020 and the report is due in the coming months. We also commenced 4 extended Own Initiative Investigations, where we extended the scope of our work on a complaint already under investigation.

Last year, my office also pushed ahead with two new publications – ‘Our Findings’ and our first Equality Report.

‘Our Findings’ will be accessed via the PSOW website and replaces the quarterly casebooks. Our Findings will be updated more frequently and will be a more useful tool in sharing the outcomes of investigations. Our first Equality Report highlights the work done to improve equality and diversity, and to ensure that our service is available to people from all parts of society.

A summary of the complaints of maladministration/service failure received relating to your Health Board is attached.

I ask that the Health Board takes the following actions:

- Present my Annual Letter to the Board to assist Board members in their scrutiny of the Health Board’s complaints performance and their consideration of any actions to be taken as a result.
- Engage with my Complaints Standards work, accessing training for your staff and providing complaints data.
- Inform me of the outcome of the Health Board’s considerations and proposed actions on the above matters by 15 November.

This correspondence is copied to the Chief Executive of your Health Board and to your Contact Officer. Finally, a copy of all Annual Letters will be published on my website.

Yours sincerely,



Nick Bennett  
Ombudsman

cc. Tracy Myhill, Chief Executive, Swansea Bay University Health Board  
By Email only: [tracy.myhill@wales.nhs.uk](mailto:tracy.myhill@wales.nhs.uk)

## Factsheet

### Appendix A - Complaints Received

<b>Health Board</b>	<b>Complaints Received</b>	<b>Received per 1000 residents</b>
Aneurin Bevan University Health Board	96	0.16
Betsi Cadwaladr University Health Board	184	0.26
Cardiff and Vale University Health Board	62	0.12
Cwm Taf Morgannwg University Health Board	86	0.19
Hywel Dda University Health Board	64	0.17
Powys Teaching Health Board	16	0.12
Swansea Bay University Health Board	79	0.20
<b>Total</b>	<b>587</b>	<b>0.19</b>

## Appendix B - Received by Subject

Swansea Bay University Health Board	Complaints Received	% Share
Ambulance Services	0	0%
Appointments/admissions/discharge and transfer procedures	0	0%
Clinical treatment in hospital	53	67%
Clinical treatment outside hospital	9	11%
Complaints Handling	5	6%
Confidentiality	1	1%
Continuing care	2	3%
COVID19	0	0%
Disclosure of personal information / data loss	1	1%
Funding	1	1%
Medical records/standards of record-keeping	0	0%
Medication> Prescription dispensing	0	0%
NHS Independent Provider	0	0%
Non-medical services	0	0%
Other	6	8%
Patient list issues	1	1%
Poor/No communication or failure to provide information	0	0%
Rudeness/inconsiderate behaviour/staff attitude	0	0%
	79	

Appendix C - Complaint Outcomes  
 (\* denotes intervention)

Local Health Board/NHS Trust	Out of Jurisdiction	Premature	Other cases closed after initial consideration	Early Resolution/voluntary settlement*	Discontinued	Other Reports-Not Upheld	Other Reports Upheld*	Public Interest Report*	Total
Swansea Bay University Health Board	17	12	19	8	1	6	15	2	80
% share	21%	15%	24%	10%	1%	8%	19%	3%	

## Appendix D - Cases with PSOW Intervention

	<b>No. of Interventions</b>	<b>No. of Closures</b>	<b>% Of Interventions</b>
Aneurin Bevan University Health Board	38	106	36%
Betsi Cadwaladr University Health Board	68	194	35%
Cardiff and Vale University Health Board	21	72	29%
Cwm Taf Morgannwg University Health Board	19	83	23%
Hywel Dda University Health Board	33	74	45%
Powys Teaching Health Board	5	17	29%
Swansea Bay University Health Board	25	80	31%
<b>Total</b>	<b>209</b>	<b>626</b>	<b>33%</b>

## Information Sheet

Appendix A shows the number of complaints received by PSOW for all Health Boards in 2020/2021. These complaints are contextualised by the number of people each health board reportedly serves.

Appendix B shows the categorisation of each complaint received, and what proportion of received complaints represents for the Health Board.

Appendix C shows outcomes of the complaints which PSOW closed for the Health Board in 2020/2021. This table shows both the volume, and the proportion that each outcome represents for the Health Board.

Appendix D shows Intervention Rates for all Health Boards in 2020/2021. An intervention is categorised by either an upheld complaint (either public interest or non-public interest), an early resolution, or a voluntary settlement.