

Our ref: NB Ask for: Communications

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Date: 7 August 2019

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Maria Battle
Chair of the Board
Cardiff and Vale University Health Board

By Email Only maria.battle@wales.nhs.uk

Dear Ms Battle

#### Annual Letter 2018/19

I am pleased to provide you with the Annual letter (2018/19) for Cardiff & Vale University Health Board. This year I am publishing my Annual Letters as part of my Annual Report and Accounts. I hope the Board finds this helpful and I trust this will enable it to review its own complaint handling performance in the context of other public bodies performing similar functions across Wales.

The number of complaints received and investigated by my office against your Health Board has remained consistent this year. Whilst I have upheld (in whole or in part) more of the cases I investigated fewer cases required intervention by my office. Of the ten public interest healthcare-related reports I issued, two concerned care and treatment delivered by your Health Board. Both cases raised serious concerns; one related to delays in urgent paediatric surgery and the other related to delays in providing aftercare services for a patient who had moved to a secure hospital in England which identified the need for cross-border health care guidance. I will be following up on the recommendations in these reports this year.

The Public Services Ombudsman (Wales) Act 2019 has now been introduced. I am delighted that the Assembly has approved this legislation giving the office new powers aimed at:

Improving access to my office

 Providing a seamless mechanism for complaint handling when a patient's NHS care is inextricably linked with private healthcare

 Allowing me to undertake own initiative investigations when required in the public interest

 Ensuring that complaints data from across Wales may be used to drive improvement in public services for citizens in Wales.

I am very much looking forward to implementing these new powers over the coming year.

### Action for the Health Board to take:

 Present my Annual Letter to the Board to assist Board Members in their scrutiny of the Board's performance

 Reflect upon the findings in the Public Interest reports I have issued and positively act upon my recommendations to improve services

Work to reduce the number of cases which require intervention by my office

• Inform me of the outcome of the Health Board's considerations and proposed actions on the above matters by **31 October 2019**.

This correspondence is copied to the Chief Executive of your Health Board and to your Contact Officer. Finally, a copy of all Annual Letters will be published on my website.

Yours sincerely

Nick Bennett

Public Services Ombudsman for Wales

CC: Len Richards, Chief Executive Roz Meah, Contact Officer

**Factsheet** 

# A. Complaints Received and Investigated with Health Board average adjusted for population distribution

Health Board	Complaints Received	Average	Complaints Investigated	Average
Cardiff and Vale University Health Board 2018/19	102	123	28	30
Cardiff and Vale University Health Board 2017/18	94	118	33	41
Abertawe Bro Morgannwg University Health Board	139	132	35	32
Aneurin Bevan University Health Board	134	146	38	36
Betsi Cadwaladr University Health Board	194	173	44	42
Cwm Taf University Health Board	75	74	22	18
Hywel Dda University Health Board	109	96	20	23
Powys Teaching Health Board	26	33	3	8

# B. Complaints Received by Subject with Health Board average

Cardiff and Vale University Health Board	Complaints Received	Average
Health - Complaint Handling	8	12
Education - Special Educational Needs (SEN)	2	0
Health - Appointments/admissions/discharge and transfer procedures	2	4
Health - Clinical treatment in hospital	69	70
Health - Clinical treatment outside hospital	9	8
Health - Confidentiality	1	1
Health - Continuing care	4	4
Health - Medical records/standards of record-keeping	1	1
Health - Other	1	5
Health - Patient list issues	4	3

# C. Comparison of complaint outcomes with average outcomes for health bodies, adjusted for population distribution

Local Health Board/NHS Trust	Out of Jurisdiction	Premature	Other cases closed after initial consideration	Early Resolution / voluntary settlement	Discontinued	Other Reports - Not Upheld	Other Reports - Upheld in whole or in part	Public Interest Reports	Grand Total
2018/19									
Cardiff and Vale University Health Board	10	16	33	19	•	11	15	3	107
Health Board average (adjusted)	20	15	32	24	2	10	24	2	129
2017/18									
Cardiff and Vale University Health Board	13	12	17	19	•	7	13	-	81
Health Board average (adjusted)	18	12	28	18	1	8	17	1	102

## D. Number of cases with PSOW intervention

Health Board	No. of complaints with PSOW intervention	Total number of closed complaints	% intervention
Cardiff and Vale University Health Board 2018/19	37	107	35%
Cardiff and Vale University Health Board 2017/18	32	81	40%
Abertawe Bro Morgannwg University Health Board	54	139	39%
Aneurin Bevan University Health Board	49	128	38%
Betsi Cadwaladr University Health Board	86	210	41%
Cwm Taf University Health Board	27	82	33%
Hywel Dda University Health Board	48	115	42%
Powys Teaching Health Board	10	17	59%
Powys Teaching Health Board – All-Wales Continuing Health Care cases	7	16	44%

## **Appendix**

### **Explanatory Notes**

Section A compares the number of complaints against the Health Board which were received and investigated by my office during 2018/19, with the Health Board average (adjusted for population distribution) during the same period.

Section B provides a breakdown of the number of complaints about the Health Board which were received by my office during 2018/19 with the Health Board average for the same period. The figures are broken down into subject categories.

Section C compares the complaint outcomes for the Health Board during 2018/19, with the average outcome (adjusted for population distribution) during the same period. Public Interest reports issued under section 16 of the Public Services Ombudsman (Wales) Act 2005 are recorded as 'Section 16'.

Section D provides the numbers and percentages of cases received by my office in which an intervention has occurred. This includes all upheld complaints, early resolutions and voluntary settlements.

### **Feedback**

We welcome your feedback on the enclosed information, including suggestions for any information to be enclosed in future annual summaries. Any feedback or queries should be sent via email to <a href="mailto:communications@ombudsman-wales.org.uk">communications@ombudsman-wales.org.uk</a>